



Express Mail No.: EL 451 593 604 US

PATENT

CAU 1646
#3
84
4.1.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Dasseux *et al.*

Serial No.: 09/465,718

Group Art Unit: 1646

Filed: December 17, 1999

Examiner: Not yet assigned

For: APOLIPOPROTEIN A-I AGONISTS
AND THEIR USE TO TREAT
DYSLIPIDEMIC DISORDERS

Attorney Docket No.:
9196-018-999

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

RECEIVED
MAR 23 2000
TC 1800 MAIL ROOM

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the examination of the subject application, Attorneys for Applicants hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled "List of References Cited".

Identification of references listed on PTO Form 1449 is not to be construed as an admission of Applicants or attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited." The right is reserved to antedate any item in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search

was made, or that the cited items are inclusive of all the relevant and material citations that may be available publicly.

Items contained in this "List of References Cited" were cited by the Applicants or the U.S. Examiner in parent application U.S. Serial No. 08/940,096, filed September 29, 1997, and in U.S. Serial No. 08/940,095 filed September 29, 1997 (U.S. Patent No. 6,004,925 issued December 21, 1999). Copies of the cited references may be found in the file history of U.S. Serial No. 08/940,096 or in the file history of U.S. Patent No. 6,004,925.

Applicants believe that no fee is due with their submission, as the Information Disclosure Statement is being filed within three months from the filing date of the application. However, if it is found that a fee is necessary, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is provided.

Respectfully submitted,

Dated: March 17, 2000

Laura A. Coruzzi
Laura A. Coruzzi

My Reg. # 42,983
30,742
(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure

RECEIVED
MAR 23 2000
TC 1600 MAIL ROOM